



PHPA AGENT PROGRAM APPLICATION

I, _____, hereby apply for a subscription to the PHPA Agent Program pursuant to the Regulations Governing the PHPA Agent Program.

I currently represent (check any that apply):

- a player currently under a contract to a professional hockey club;
- a player formerly under contract with a professional hockey club who is now a free agent;
- an amateur player seeking a professional hockey contract who has entered into a player-agent representation agreement (must be included with application);

In advance of completing and signing this application, I have received and read the Regulations Governing the PHPA Agent Program (the "Regulations").

In executing this Application, I voluntarily agree to comply with and be bound by the Regulations which are incorporated herein by reference and any subsequent amendments that may be promulgated thereto.

I understand that absolute and complete candor is required. Any false, misleading or incomplete statement in an answer on this Application can result in denial or termination of access to the PHPA Agent Program (the "Agent Program" or "Program").

I understand that this Application and the answers I have provided are designed to benefit the PHPA and its Members, both present and future, by helping to ensure qualified representation. I unconditionally agree that the information contained herein can be maintained and used by the PHPA in performing its functions and can be provided by the PHPA to individual players, including future players.

If granted a subscription to the Program, I will save and hold harmless the PHPA, its Officers, employees and representatives from any liability whatsoever resulting from my acts of commission or omission in providing services to any Player.

If I am denied access to the Program, or if subsequent to obtaining a subscription to the Program it is terminated, I agree that the exclusive remedy is pro-rata fee reimbursement as set forth in the Regulations.

In consideration for being accorded the opportunity to subscribe to the Agent Program, I further agree that this application and the subscription, if granted, and the Regulations, shall constitute a contract between the PHPA and myself.

ALL QUESTIONS MUST BE ANSWERED COMPLETELY
ALL ANSWERS MUST BE TYPED

A. GENERAL

1.

Full Name: _____

Home Address: _____

Home Telephone: _____

Social Insurance # (Canada): _____

Social Security # (U.S.A.): _____

Date of Birth (mm/dd/yyyy): _____

Birthplace: _____

Business Address: _____

Business Telephone: _____

Cellular Telephone: _____

Fax: _____

E-mail: _____

Website: _____

2. Have you ever been known by any other name or surname? If so, state all names used and when used:

If you are a married woman, state your maiden name: _____

B. EDUCATION

3. Law or Graduate School(s) attended:

(School) *(City, State/Province)*

(Degree) *(Date Awarded)*

(School) *(City, State/Province)*

(Degree) *(Date Awarded)*

4. Colleges or Universities Attended:

(School) *(City, State/Province)* *(Degree)*

(School) *(City, State/Province)* *(Degree)*

(School) *(City, State/Province)* *(Degree)*

5. Other education, courses or training seminars:

C. EMPLOYMENT STATUS & PERSONAL AFFILIATIONS

6. State the name, nature and location of each business, firm or organization with which you are presently affiliated:

7. What is the nature of your affiliation to each business, firm or organization referred to in question 6 above (i.e. employee, officer, director)?

8. Describe fully the nature of each business, firm or organization with which you are presently affiliated:

D. PROFESSIONAL REPRESENTATION EXPERIENCE

9. Please list below (or attach a list which includes) the names of every hockey player, including future players, you are now representing, including the date of such representation:

| Player | Date | Player | Date |
|---------------|-------------|---------------|-------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

10. List the names of all past or present General Managers, Coaches, Scouts or other officials or employees of any professional hockey club, professional hockey league or any affiliate of any of the foregoing, that you have represented in the past:

11. Apart from professional hockey, list any other professional sports in which you currently represent or have previously represented any professional athletes, state whether you have been approved or certified as an agent in such sport and for each such sport specify the number of athletes you currently represent:

12. Please list the names of any other professional athletes, entertainers, or celebrities you are now representing or have represented in the past, indicating the type of representation, the dates of representation, and the employers involved:

E. SERVICES AND FEE ARRANGEMENTS

13. What services do you and your business, firm or organization provide to Players (Place a check next to each service provided)?

| | | | |
|--------------------------------------|-------|--------------------------|-------|
| Contract Negotiation and Maintenance | _____ | Estate Planning | _____ |
| Litigation/Arbitration | _____ | Tax Planning | _____ |
| Financial Planning | _____ | Appearances/Endorsements | _____ |
| Investment Counseling | _____ | Other Services (explain) | _____ |
| | | | _____ |

14. What fee is customarily charged for such services? If the fee is a percentage specify the percentage. If it is an hourly fee, specify the hourly rate. If the fee charged is based on some other arrangement, specify the amounts charged for each service provided to such arrangement.

| | | | |
|--------------------------------------|-------|--------------------------|-------|
| | Fees | | Fees |
| Contract Negotiation and Maintenance | _____ | Estate Planning | _____ |
| Litigation/Arbitration | _____ | Tax Planning | _____ |
| Financial Planning | _____ | Appearances/Endorsements | _____ |
| Investment Counseling | _____ | Other Services (explain) | _____ |
| | | | _____ |

F. ALL APPLICANTS

15. Are you a member of any business or professional organization which directly relates to your occupation or profession? If so, please list:

16. Please list any occupational certifications, professional licenses or other similar credentials (i.e. Certified Public Accountant, Chartered Life Underwriter, Registered Financial Planner, etc.) you have obtained other than college or graduate school degrees, including dates obtained:

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17. Have you ever been denied an occupational certification or professional license, franchise or other similar credentials for which you applied? If so, please explain fully:

18. Do you have currently pending any application for an occupational certification or professional license, franchise or other similar credentials? If so, please describe and indicate the status of each such application:

19. Have you ever been suspended, reprimanded, censured, or otherwise disciplined or disqualified as a member of any profession or as a holder of any public office? If so, please describe each such action, the date(s) of occurrence, and the name and address of the authority imposing the action in question:

20. Are any charges or complaints currently pending against you regarding your conduct as a member of any profession or as a holder of public office? If so, please indicate the nature of the charge or complaint and the name and address of the authority considering it:

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21. Has your right to engage in any profession or occupation ever been disqualified, suspended, withdrawn, or terminated? If so, please explain fully:

22. Are you registered or have you applied to be registered by any state or province which has promulgated statutes regulating athlete agents? If so, list states and status of registration:

23. Have you ever had registration denied, suspended or revoked by any state or province which has promulgated statutes regulating agents? If so, please explain fully.

24. Have you ever been convicted of or plead guilty to a criminal charge? If so, please indicate nature of offense, date of conviction, criminal authority involved, and punishment assessed:

25. Have you ever been a defendant in any civil proceedings, including bankruptcy proceedings, in which allegations of fraud, misrepresentation, embezzlement, misappropriation of funds, theft, forgery, perjury or breach of confidentiality were made against you? If so, please describe fully and indicate results of the civil proceeding(s) in question:

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26. Have you ever had an investigation, disciplinary proceeding or legal proceedings initiated against you by any player, player's association, professional club or league or had certification or approval to represent players in any other professional sport denied or revoked for any reason? If so, please describe fully and indicate the results of the action in question:

27. Have you ever initiated legal proceedings (including arbitration) against any player for any reason? If so, please describe fully and indicate the results of the civil action in question:

28. Are there any unsatisfied judgments of continuing effect against you (other than alimony or child support)? If so, provide full details:

G. RELATIONSHIPS WITH OWNERS

29. Do you, or any business, firm or organization with which you are presently affiliated or anyone else in such business, firm or organization, have a proprietary interest in any professional sports team or professional sports league? If so, describe fully:

30. Are you now, or have you ever been, a partner or joint investor in any enterprise with a principal owner or officer of any professional sports team or professional sports league? If so, describe fully:

31. Excluding players, do you or anyone else in any business, firm or organization with which you are presently affiliated currently represent any partner, stockholder, management official, or other employee (including, but not limited to, General Managers, front office personnel, managers, coaches or scouts) of any professional hockey club or league, or any affiliated entity, in any capacity, for any purpose? If so, describe fully:

32. Do you, or any member of your law firm, serve as counsel to the ownership or management of any professional sports team or professional sports league, in any other sport? If so, describe fully:

33. Are you, or is anyone else in any business, firm or organization with which you are presently affiliated, an officer, representative, agent or employee of a professional hockey club or league, or affiliated entity? If so, describe fully:

H. REFERENCES

34. Please list below the names, addresses and telephone numbers of at least three (3) persons, not related to you, who have known you for at least the last five (5) years and who can attest to your character. (Names of officers, player representatives, or staff members of the PHPA may not be used.)

ACKNOWLEDGEMENT

In submitting this Application for access to the PHPA Agent Program (the “Agent Program” or “Program”) to the Professional Hockey Players' Association (the "PHPA"), I hereby state that I understand, agree, affirm, and acknowledge:

1. I have completely and accurately filled out the Application and I will update and supplement the information provided in the Application as it changes and also as requested by the PHPA; and
2. I have not made any material misrepresentation or any false or misleading statements of a material nature in answering any questions on the Application, and if the PHPA should determine I have done so, such determination may constitute grounds for denial or termination of access to the Program; and
3. I have not been “licensed,” “registered” or “certified,” or otherwise sanctioned by the PHPA.
4. I will not warrant or represent myself as a PHPA Licensed, Registered or Certified Agent, or as an officer, representative, or employee of the PHPA.

I, _____, have read the foregoing questions in the within Application and have personally answered the same fully and honestly and the answers to said questions are true to my knowledge.

Signature of Applicant

Date